

Contract & Grant Users Group Meeting

Thursday, August 6, 2020

2:00 p.m. – 3:30 p.m.

Via Zoom

UCR

BFS – Business & Financial Services
A Division of Planning, Budget, and Administration

PBA
BFS

Agenda

- Foreign Influence Update
- F&A and CBR Update
- COVID Salary Flexibility (idle time) Under OMB 20-26
- C&G COVID Website
- NCURA Training – Life Cycle of an Award Series
- NCURA “YouTube Tuesdays”
- Unallowable Costs
- PIWRS and Annual Payroll Certification Schedule
- Q&A



Foreign Influence Update

Charles Greer

Updates

System-wide Foreign Influence Audit

Audit and Advisory Services initiated the audit earlier this year.

The data has been collected and being reviewed by UCOP.

Frequently Asked Questions on Current and Pending Support PAPPG (NSF 20-1) Updated July 30, 2020

https://www.nsf.gov/bfa/dias/policy/papp/pappg20_1/faqs_cps20_1.pdf

Protecting U.S. Biomedical Intellectual Innovation

<https://grants.nih.gov/policy/protecting-innovation.htm>



Requirements for Disclosure of Other Support, Foreign Relationships and Activities, as well as Conflicts of Interest

Full transparency in NIH applications and throughout the life of an NIH grant is critical. NIH requires the [disclosure](#) of all research support, foreign components, and financial conflicts of interest (FCOI). NIH uses this information when making its funding decisions to determine if the research being proposed is receiving other sources of funding that could be duplicative, has the necessary time allocation and resources to conduct the research.

Examples of What to Disclose to NIH about Senior/Key Personnel on Applications and Awards:*

Table 1: Recipients Must Report**

Type of Activity	Report in Biosketch / Application	Report as Foreign Component in Application	Obtain Prior Approval and Report as Foreign Component in RPPR	Report as Other Support (JIT)	Report as Other Support (RPPR)	Review for potential FCOI
All positions and affiliations, including volunteer positions, relevant to the application	✓					✓ If personal payments to the individual
Relevant appointments at foreign institutions – even if labeled as “guest,” “adjunct,” “honorary,” with or without salary support	✓					✓ If personal payments to the individual





F&A and CBR Update

Charles Greer

Updates

The F&A and CBR rates expired on June 30, 2019.

Proposal budgets should use the provisional rates in accordance with UCR's DHHS Rate Agreement dated March 14, 2018.

<https://redit.ucr.edu/webdocs/sp/Signed%20UCR18%20FB%20Rate%20Agreement.pdf>

- The provisional rates are those cited for the Fiscal Year ending June 30, 2019.
- Those rates should be used until UCR receives its new DHHS approved F&A rate agreement.

NOTE: The CBR rates are assessed at the actual rate. The actual CBR rate may be lower or higher than the provisional rates; however you need to continue to use the provisional rates in proposal budgets until the new rate agreement is approved and issued by DHHS.

The rates were submitted in late June and not expected to be approved until next year.



COVID-19 Salary Flexibility (idle time) Under OMB 20-26

Charles Greer

Background

OMB Memo 20-17 Administrative Relief for Recipients and Applicants of Federal Financial Assistance Directly Impacted by the Novel Coronavirus (COVID-19) due to Loss of Operations – Issued March 19, 2020 (Expired on June 16, 2020.)

The circular provided Administrative Relief Exceptions for COVID-19 Crisis flexibilities to the agencies including Allowability of salaries and other project activities. **This allowed salaries and benefits to currently active Federal awards consistent with the recipients' policy of paying salaries (under unexpected or extraordinary circumstances) from all funding sources.**

Allowed "idle" time to be charged to Federal awards consistent with the recipients policies that allowed **paying salaries (under unexpected or extraordinary circumstances) from all funding sources.**



OMB Memo 20-26 (June 18, 2020)

Extended the flexibility until September 30, 2020 including the charging of "idle time". While OMB's recent memo suggests that it has extended salary flexibility even when a researcher is unable to complete a scope of work as a result of COVID-19 impacts (i.e. "idle time"), use of these flexibilities comes with conditions that must be met first. Idle Time on research is defined as time that you were unable to work on your research due to disruptions from COVID-19.

OMB now directs agencies to require grantees to **“exhaust other available funding sources to sustain its workforce and implement necessary steps to save overall operational costs ... in order to preserve Federal funds for the ramp-up effort”** – previously these flexibilities were not conditioned on exhausting other fund sources.



Concerns/Questions with OMB Memo 20-26

Can UCR continue to charge “idle time” under the flexibilities of OMB Circular 20-26?

- NO, not currently.

Why not?

- The meaning of exhaust other available funding sources to sustain its workforce is unclear.
- The requirement under OMB Memo 20-17 that the institution has a policy of paying salaries (under unexpected or extraordinary circumstances) from all funding sources remains in effect.
- UC’s policy of paying salaries (under unexpected or extraordinary circumstances) from all funding sources expired June 30, 2020.





C&G COVID-19 Website

Cynthia Wells



COVID-19 Website

COVID-19 Guidance for Researchers and Research Administrators

- Please visit our [Keep Researching](https://redit.ucr.edu/spa/covid19) webpage (located at <https://redit.ucr.edu/spa/covid19>) for the latest information from RED/SPA.
 - Agencies and entities are issuing guidance on the Coronavirus Disease 2019 (COVID-19). Guidance, announcements, and funding opportunities received by Research and Economic Development (RED) are posted here. This page is updated as new information becomes available.





NCURA Training - Life Cycle of an Award Series

Cynthia Wells

COMING SOON: Life Cycle of An Award Series

- Sponsored Programs Administration has procured a license to use National Council of University Research Administrator's on-line video series, which covers the life cycle of an award, for UCR's internal training and on-boarding purposes.
 - The award life cycle refers to the entire process of an award — from finding a funding opportunity and developing a proposal through award implementation and ending with the closeout of an award.
 - This on-demand education portal will contain video webinars and supporting resources which will walk the participant through the entire award life cycle.
 - This video series will be the Research Administrators Informative Noontime Collaboration (RA-INC) FY20/21 curriculum. However, if you wish to view the series at your own pace or want to make-up a particular RA-INC session that you were unable to attend, the entire video series and supporting resources will be available on-demand. (The entire series is 21.5 hours in length.)



NCURA “YouTube Tuesdays”

Cynthia Wells

NCURA “YouTube Tuesdays”

- [NCURA “YouTube Tuesdays”](#) are videos produced by the National Council of University Research Administrators (NCURA). These short videos (typically 2 – 5 minutes in length), are presented by various research administrators from around the country, and provide educational information on various pre and post award administration topics.
- A new video is posted each Tuesday, however, you can access the archive of previous YouTube Tuesday videos anytime (should you want to search for a particular research administration topic).
- *The link to NCURA “YouTube Tuesdays” is located at the bottom of SPA’s home page. Please note that these videos do not specifically represent UCR’s policies or procedures.*



Unallowable Costs

Bobbi McCracken



Unallowable Costs

- NSF Review of UCR Policies and Internal Controls
 - Required enhancements to campus guidance on the treatment of unallowable costs
- UCR Actions
 - New UCR Policy 200-42 Treatment of Unallowable Costs
 - Update UCR Policy 200-97 Ledger Reconciliation & Review Guidelines
 - Requiring the immediate transfer of unallowable charges
 - Modified LRSS Reconciler and Certifier statements to specifically address the handling of unallowable charges
 - Conduct a C&G User Group to review these changes



Unallowable Costs

UCR Policy 200-42 Requires departments to avoid the posting of unallowable costs to awards by:

- Reviewing CAN and award T&Cs to understand allowable costs
- Establishing UCRFS budget based on approved award documents
- Ensuring procedures exist to review expenditures for allowability
- Obtaining/documenting PI's approval on costs charged to the award
- Performing monthly ledger reconciliation
- Regularly meeting with PIs to review financial activity
- Reviewing annual payroll certifications in advance of PI approval and annotate
- If an unallowable cost is identified on a sponsored project, the cost must be immediately transferred to an appropriate fund source



PIWRS and Annual Payroll Certification (PRC) Schedule

Pauline Librenjak



PIWRS and Annual PRC Timeline

Reporting FY/Period	PIWRS			Annual Payroll Certification				
	Grid Available to PIWRS Coordinators	Final Day to Modify Grid	PIWRS Reports Published & available to PI	Notice #1 to PIWRS Coordinator - PRC will be released soon	Notice #2 PRC available to PIWRS Coordinator	Notice #3 PRC issued to PI	Notice #4 PRC issued to PI for funds uncertified	PRC Due Date (Approx 24 days after release to PI)
21/1 (July)	8/10/2020	8/19/2020	8/20/2020	On the first day after Budget Period End Date	8/10/2020	8/22/2020	9/8/2020	9/15/2020
21/2 (Aug)	8/20/2020	9/19/2020	9/20/2020	On the first day after Budget Period End Date	9/10/2020	9/22/2020	10/8/2020	10/15/2020
21/3 (Sept)	9/20/2020	10/19/2020	10/20/2020	On the first day after Budget Period End Date	10/10/2020	10/22/2020	11/8/2020	11/15/2020
21/4 (Oct)	10/20/2020	11/19/2020	11/20/2020	On the first day after Budget Period End Date	11/10/2020	11/22/2020	12/8/2020	12/15/2020
21/5 (Nov)	11/20/2020	12/19/2020	12/20/2020	On the first day after Budget Period End Date	12/10/2020	12/20/2020	1/8/2021	1/15/2021
21/6 (Dec)	12/20/2020	1/19/2021	1/20/2021	On the first day after Budget Period End Date	1/10/2021	1/22/2021	2/8/2021	2/15/2021





PIWRS and PRC Timeline

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21/7 (Jan)	1/20/2021	2/19/2021	2/20/2021	On the first day after Budget Period End Date	2/10/2021	2/22/2021	3/8/2021	3/15/2021
21/8 (Feb)	2/20/2021	3/19/2021	3/20/2021	On the first day after Budget Period End Date	3/10/2021	3/22/2021	4/8/2021	4/15/2021
21/9 (Mar)	3/20/2021	4/19/2021	4/20/2021	On the first day after Budget Period End Date	4/10/2021	4/22/2021	5/8/2021	5/15/2021
21/10 (Apr)	4/20/2021	5/19/2021	5/20/2021	On the first day after Budget Period End Date	5/10/2021	5/22/2021	6/8/2021	6/15/2021
21/11 (May)	5/20/2021	6/19/2021	6/20/2021	On the first day after Budget Period End Date	6/10/2021	6/22/2021	7/8/2021	7/15/2021
21/12 (Jun-Prelim)	6/20/2021	7/21/2021	7/22/2021	See June Final	See June Final	See June Final	See June Final	See June Final
21/998 (Jun-Final)	7/22/2021	8/9/2021	8/10/2021	On the first day after Budget Period End Date	8/9/2021	8/21/2021	8/28/2021	9/5/2021
22/1 (Jul)	8/10/2021	8/19/2021	8/20/2021	On the first day after Budget Period End Date	8/12/2021	8/22/2021	9/8/2021	9/15/2021
22/2 (Aug)	8/20/2021	9/19/2021	9/20/2021	On the first day after Budget Period End Date	9/10/2021	9/22/2021	10/8/2021	10/15/2021





Questions?

Please raise your hand in Zoom. Once called upon, you can unmute yourself.



Thank you!

Your feedback is important. Please follow the link below to complete the survey. It will remain available through August 27, 2020.

Survey Link:

<https://forms.office.com/Pages/ResponsePage.aspx?id=xCpim6aGnUGbnr-zP0XAVJsWanN-tRIPqKJ6H0W4sWBUMEdDQzVaVzRQWTJUUVpKQkhLMUZKSzIMVS4u>

