

Spectrum Laboratory Products, Inc.

*California Controlled Chemical
Substances Training*

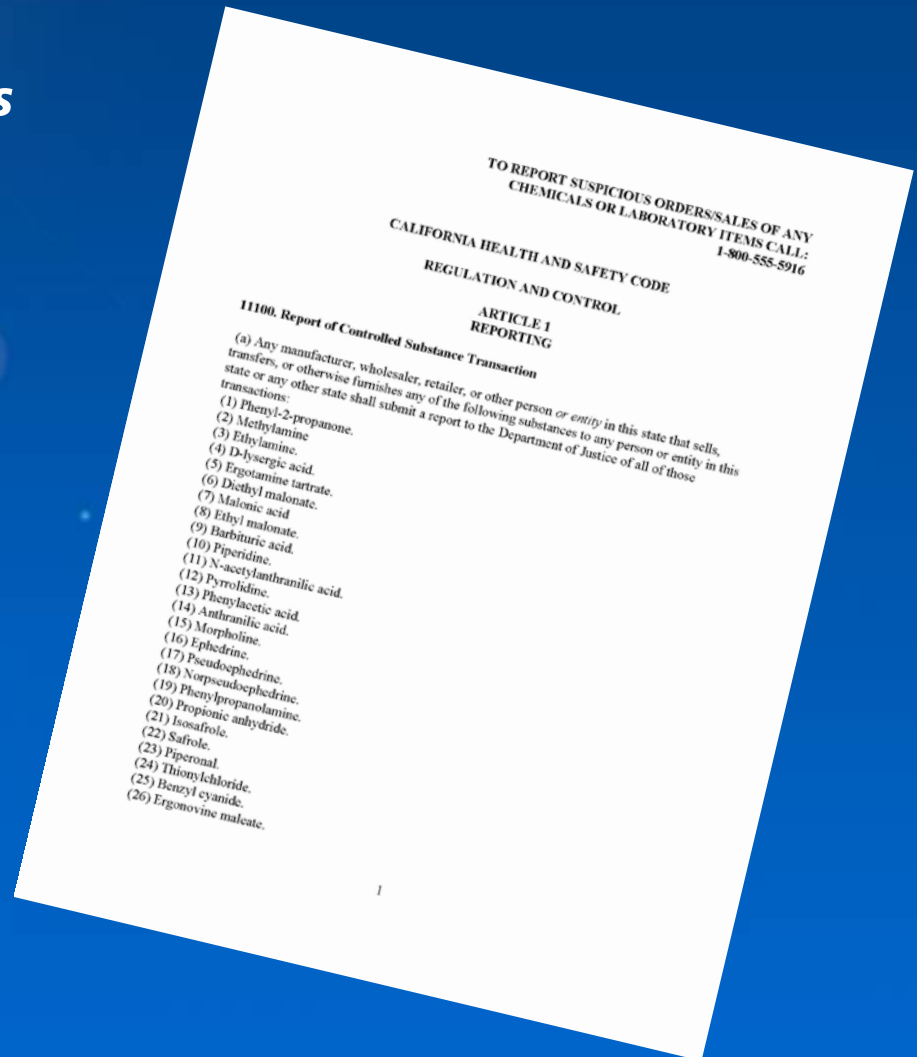
Governing Statute: CA Health & Safety Code

**Controlled Chemical Substances
(Precursors) § 11100**

**Specified Chemicals
§ 11107.1**

**Certain Glassware, Apparatus,
Reagents, Solvents
§ 11107**

<http://ag.ca.gov/bne/pdfs/laws03.pdf>



What “Chemical” Transactions Does the CA Health & Safety Code Regulate?

➤ *Controlled Chemical Substances*

Sold in or Brought into CA

- These are primarily precursors for the manufacture of illicit drugs

➤ *Certain Specified Chemicals*

Sold in CA

➤ *Certain Reagents , Solvents, Glassware & Apparatus*

Sold in CA

- When value is > \$100



Responsible Agency: "Cal DOJ"

**California Department of Justice
Bureau of Investigation
Controlled Chemical Substance Program**

Responsible for program administration,
investigations, enforcement and
issuance of permits



Contact Info:

Phone: (916) 227-4222
Temporary Fax: (916) 227-4039
Email: CCSP@doj.ca.gov

Anyone who sells, transfers or otherwise furnishes Controlled Chemical Substances must have a Controlled Chemical Substance Permit issued by the CA DOJ.

STATE OF CALIFORNIA
Bureau of Investigation
Controlled Chemical Substance Program

Permit for Controlled Chemical Substances

SPECTRUM LABORATORY PRODUCTS, INC.
14422 SOUTH SAN PEDRO STREET
GARDENA, CALIFORNIA 90248

Pursuant to the California Health and Safety Code Section 11106, this permit enables the sale, transfer, or furnishing of the below listed controlled chemical substance(s) and the purchase of the below listed chemical substance(s) from an out-of-state source (as defined in Health and Safety Code Section 11100 et seq.) to the above named business and location.

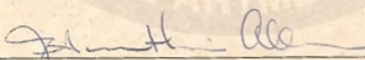
This permit is not transferable, must be visibly displayed at the business location, and is only valid at the location listed on this permit.

For the purchase and sale of: Phenyl-2Propanone, Methylamine, Ethylamine, Ergotamine Tartrate, Diethyl malonate, Malonic acid, Ethyl malonate, Barbituric acid, Piperidine, N-acetylanthranilic acid, Pyrrolidine, Phenylacetic acid, Piperidine, N-acetylanthranilic acid, Pyrrolidine, Phenylacetic acid, Anthranilic acid, Morpholine, Ephedrine, Pseudoephedrine, Norpseudoephedrine, Phenylpropanolamine, Propionic anhydride, Isosafrole, Safrole, Piperonal, Thionylchloride, Benzyl cyanide, Ergonovine maleate, N-methylephedrine, N-ethylephedrine, N-ethylpseudoephedrine, Chloroephedrine, Chlorpseudoephedrine, Hydriodic acid, Gamma-Butyrolactone, Butyrolactone, Butyrolactone gamma, 4-Buyrolactone, 2(3H) Furanone Dihydro, Dihydro-2(3H)Furanone, Tetrahydro-2 furanone, 1 2Butanolide, 1 4Butanolide, 4Butanolide, Gamma Hydroxybutyric Acid lactone, 4Hydroxybutanoic acid lactone(96-48-0), 1 4Butanediol including Butanediol,butane...(110-63-4) Red phosphorus including white phosphorus, Hypophosphorous acid and its salts, Ammonium Hypophosphite, Calcium hypophosphite, Iron phypophosphite, Potassium hypophosphite, Manganese hypophosphite, Magnesium hypophosphite, Sodium hypophosphite, Phosphorus acid and its salts , Iodine or Tincture of Iodine

DESIGNATED REPRESENTATIVE(S):
Thomas Tyner
Martin LaBenz
Norman Tsang
Constanin Ionita
Joana Maties
Natalie Wilson
William House

EXPIRATION DATE:
July 17, 2013

PERMIT NUMBER:
004


JANETTE GUNTHER-ALLEN, ACTING ASSISTANT CHIEF
Bureau of Investigation
BNE 014 (Rev 01/2012)

All such regulated transactions must be held, screened and released by Spectrum's Compliance Department

[Requirements are found at CA H&S Code § 11100 (c)(1)(A)]

➤ **Transaction must be reported 21 days prior to release**

➤ **Required documents:**

➤ **QAF481 from the Contact Person on the order**

➤ **2 forms of "proper identification"**

(Schools might have a city business license, CA Seller's Permit, DEA Registration. If they have none of these, CA DOJ will accept the school's W-9 Federal Tax Form along with a copy of the Driver's License of the Contact Person)

*A photocopy of at least ONE of the two forms of ID is required.

➤ **Intended Use Letter**

(detailed use, on letterhead, signed, dated, must specify chemical being purchased)

➤ **Copy of the Purchase Order (P.O.)**

Provides the information needed to successfully file the *prior report of transaction*



FAX NUMBER: _____
 ATTN: _____

Purchaser Identification & Designation of Authorized Purchasing Agent
 California Precursors, Specified Chemicals, Certain Glassware, Apparatus, Reagents & Solvents

Customer #: _____ Order #: _____ Spectrum Representative: _____

Company Name _____
 Address 1 _____
 Address 2 _____
 City, State, Zip _____
 Telephone _____

Dear Customer: California Health & Safety Code Sections 11100 – 11107.1 require Spectrum to obtain the following purchaser identification for all regulated transactions. Fully completed, this form will also serve as a DEA signature card for List 1 and List 2 Chemicals and will be valid for 1 year.

Privacy Statement: Any personal information obtained for this purpose is received in a restricted access area, handled only by authorized personnel and secured to maintain the privacy of your personal information. This information will not be disseminated by Spectrum in any form, but must be disclosed to law enforcement personnel upon lawful request.

PURCHASER-SUPPLIED INFORMATION: [Please Complete Legibly]

1 AUTHORIZED PURCHASER(S)

_____ [PRINT NAME]	_____ SIGNATURE	_____ TITLE
_____ [PRINT NAME]	_____ SIGNATURE	_____ TITLE
_____ [PRINT NAME]	_____ SIGNATURE	_____ TITLE

AUTHORIZATION NOTES OR RESTRICTIONS: _____

2 PLEASE PROVIDE ANY TWO (2) FORMS OF IDENTIFICATION FROM THE FOLLOWING LIST

Federal Tax ID # * Seller's Permit ID # * City or County Business License # * Cal. Dept. of Health Services License #
 DEA Certificate # * Cal. Dept. of Justice Precursor Permit # * Driver's License # * Other ID Issued by Any State

ID #1: Type/Issued by _____ Number _____ Expires _____
 ID #2: Type/Issued by _____ Number _____ Expires _____
 If Driver's License/State ID used above: Name _____ State _____

3 INTENDED USE: (Please be specific. Trade Secrets need not be disclosed)

4 MANAGEMENT APPROVAL: I am authorized by the above company to designate Authorized Purchasing Agents. I hereby designate the above Authorized Purchasing Agent(s) and affix my signature as witness to the validity of those Purchasing Agents' identification.

 [PRINT MANAGER NAME] MANAGER SIGNATURE MANAGER TITLE DATE

Signature is valid 1 year from date signed

PLEASE FAX COMPLETED FORM TO: (310) 516-2014

The 21-Day Hold



- The 21 day “prior reporting” period cannot begin until Compliance has received all the necessary documentation, mails the reporting package to CA DOJ, and CA DOJ has received it.
- If Spectrum receives no objections regarding the transaction from CA DOJ, the order may be released for shipment at the end of the 21-day period.

Spectrum Compliance Department

Contacts:

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nwilson@spectrumchemical.com

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ntsang@spectrumchemical.com

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V.P. Quality
ttyner@spectrumchemical.com